



CITY OF SANTA ANA
JULY 1, 2017 – JUNE 30, 2018

ANNUAL ACTION
PLAN

City of Santa Ana
2017-2018

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The Annual Plan outlines housing, community and economic development needs, priorities, strategies, and projects that will be undertaken by the City of Santa Ana with the funds that the City receives from the U.S. Department of Housing and Urban Development (HUD). As an entitlement jurisdiction, the City receives an annual share of federal Community Development Block Grant (CDBG,) Emergency Solutions (ESG) and HOME Program funds. In order to receive these entitlements, the City must submit a Consolidated Plan every five years to HUD and an Annual Action Plan every year. The funds are intended to provide low- to moderate-income households with affordable housing, a healthy and safe living environment, and expanded economic opportunities. Eligible activities include community facilities and improvements, housing rehabilitation and preservation, development activities, public services, economic development, planning, and program administration.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The City of Santa Ana's mission statement asserts, "To deliver efficient public services in partnership with our community which ensures public safety, a prosperous economic environment, opportunities for our youth, and a high quality of life for residents."

The Strategic Plan proposes strategies and programs that focus on Santa Ana's mission statement, address community priority needs, and also HUD's statutory goals. Related to this mission statement are a series of City goals including:

- Community Safety
- Youth, Education, Recreation
- Economic Development
- City Financial Stability
- Community Health, Livability, Engagement and Sustainability
- Community Facilities and Infrastructure
- Team Santa Ana

The City has various needs. The principal needs are: 1) affordable housing through production, rehabilitation/repair, and financial assistance; 2) public improvements to improve neighborhoods; 3) housing for persons with special needs; 4) assistance for the homeless, including housing, services and homelessness prevention; 5) community and public services especially for youth, the elderly, the homeless, and persons with disabilities; 6) economic development and anti-poverty programs focused primarily upon job training and career preparation; 7) public facility and infrastructure improvements centered upon accessibility improvements; and 8) code enforcement.

The strategies described herein establish Santa Ana's priorities for assisting low- to moderate-income families and neighborhoods with funds made available through the Consolidated Plan. The affordable housing and development needs of a community significantly outweigh the resources available to address those needs. Therefore, it is necessary to prioritize the use of available funds to the highest and best use to meet the most pressing needs for affordable housing, homeless individuals, persons with special needs, and overall community development.

The priorities were selected based on a review and analysis of the information summarized in the Consolidated Plan/Annual Plan, following the community participation process, consultations, the needs assessment, and housing market analysis. Strategies are designed to meet the highest priority needs that are based on past performance and best practices of communities with similar programs. Only projects that clearly demonstrate the capacity to serve one of the priorities below will receive funding through the Consolidated Plan/Annual Plan.

The majority of CDBG activities are selected based on eligibility and need. All organizations requesting CDBG funds for public services, through a formal application process, must demonstrate that the activity benefits low- and moderate- income (LMI) persons. The organizations requesting ESG funds also applied through a formal application process. They were each judged on their ability to carry out the requirements of the program which provides assistance to homeless individuals and families.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City has used the resources provided by HUD to the best of its ability and has been successful in meeting HUD's objectives over the preceding years.

Components of the City's system of care carried out during the report period included outreach, supportive services, homelessness prevention, emergency shelter, transitional housing, and access to permanent housing. The needs of the homeless with special needs (e.g., victims of domestic violence, persons living with HIV/AIDS, and the chronic homeless) were also addressed by Santa Ana's CoC system. These program efforts helped address priority needs identified in the City's Annual Plan.

Various programs, including the Workforce Innovation and Opportunity Act resources, addressed employment training and job placement needs. The State designated Enterprise Zone and the City's business attraction and retention programs also provided economic opportunities to residents.

In order to meet the changing needs of the community, the City supported public services and public facility improvement projects. Public services for lower income youth and seniors help stabilize households by providing services such as recreation and nutrition programs.

The City recognizes that the City's annual entitlement and formula allocations are not sufficient to meet all of its needs and therefore seeks to maximize coordination and cooperation among agencies and organizations to make the best use of these limited funds. Also, the City recognizes that leveraging resources is critical to achieving the City's goals. The City continues to cultivate funding partners who can match the City's investment of CDBG, HOME, and ESG Program funds. The need remains great, and additional funds are sought to ensure that more affordable housing and important programs and activities are available for those in need.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City employs diverse methods to encourage on-going participation from residents, community service providers, and existing and potential community development partners. The participation process for the Annual Plan included public notices; a 30-day public comment period; public hearings; and consultation with non-profits, City staff, and housing providers.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The City was prepared to receive all comments that were offered and integrated them in the Annual Action Plan. [Public Comments TBD - will be included in the final document]

6. Summary of comments or views not accepted and the reasons for not accepting them

All public comments were accepted.

7. Summary

The City was prepared to receive all comments that were offered and integrated them in the Annual Action Plan. [Public Comments TBD - will be included in the final document]

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PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	City of Santa Ana	Community Development Agency
HOME Administrator	City of Santa Ana	Community Development Agency
ESG Administrator	City of Santa Ana	Community Development Agency

Table 1 – Responsible Agencies

Narrative (optional)

Consolidated Plan Public Contact Information

Judson Brown, Housing Division Manager

Community Development Agency

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Santa Ana, CA 92702

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The United States Department of Housing and Urban Development (HUD) now requires that entitlement jurisdictions submit the Annual Action Plan and the Five-Year Consolidated Plan through the Integrated Disbursement and Information System (IDIS). The IDIS template contains relevant section and a series of questions to complete the plan. The City of Santa Ana's 2017-2018 Annual Action Plan was developed using the IDIS template and in accordance with statutory requirements and federal regulations.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Santa Ana has its own Housing Authority and works collaboratively on all programs. Housing Authority and City staff meet quarterly with County Mental Health workers in addition to sitting on various committees together. ESG funds will continue to fund mental health outreach services in FY 2017-2018.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

2-1-1 Orange County is the County's lead with the Coordinated Entry System as well as the Homeless Management Information System (HMIS). Each sub-recipient that the City funds is required to participate in this system. With the establishment of the Coordinated Entry System, organizations are required to lower their barriers to entry and adopt a Housing First model. Street Outreach Teams are administering the Vulnerability Index - Service Prioritization Decision Assistance Tool (VI-SPDAT) to help in the placement of housing. City staff are active on several of the Continuum's sub-committees and the City Manager sits on the Continuum of Care's governing board.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

City staff participates on several sub-committees within the Continuum of Care and coordinates on a variety of projects. Additionally, the Orange County ESG grantees (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) have developed the Orange County ESG Collaborative as a unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

DRAFT

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	HOUSING AUTHORITY OF THE CITY OF SANTA ANA
	Agency/Group/Organization Type	PHA Other government - County Other government - Local Civic Leaders
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Housing Authority of the City of Santa Ana provided data and feedback regarding the sections of the Annual Action Plan listed above. The anticipated outcomes of the consultation is the improvement of coordination and collaboration to address the needs for homeless individuals and families.

Identify any Agency Types not consulted and provide rationale for not consulting

All relevant agencies were consulted for the development of the Annual Action Plan.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	2-1-1 Orange County	The City of Santa Ana works closely with the Continuum of Care to ensure that activities in the City are consistent with the goals of the Continuum.

Table 3 – Other local / regional / federal planning efforts

AP-12 Participation – 91.105, 91.200(c)

**1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

The planning and citizen participation activities for July 1, 2017–June 30, 2018 began in December, 2016.

The Annual Action Plan (AAP) was available for a 30-day public comment period from March 19, 2017 until April 18, 2017.

The AAP was made available online and in hard copy at the City of Santa Ana.

A public hearing was held on April 5, 2017 on the Plan. The public hearing was announced in the local newspapers on March 19, 2017. Comments received during the public hearing and public comment period were shared with all stakeholders and incorporated into the Annual Action Plan.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Citywide	No response received.	No public comments received.	NA	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

Santa Ana, like many jurisdictions, is faced with the challenging task of meeting increasing needs with fluctuating and/or decreasing federal and state resources.

The figures shown in the table below reflect anticipated HUD allocations for FY 17-18. The figure for “Expected Amount Available Remainder of ConPlan” anticipates a slight decrease in funding using the 2017 allocation amounts and projecting those amounts over the two remaining years covered by the Consolidated Plan.

If there are further funding cuts to any of the three programs over the coming years, the City will adjust accordingly and craft Annual Action Plans reflective of funding realities.

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	5,127,675	192,000	451,642	5,771,317	10,255,350	20% Admin and Fair Housing Services, 15% Public Services

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Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,104,000	130,000	0	1,234,000	2,382,505	10% Admin
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	489,202	0	0	489,202	1,493,262	7.5% Admin, 60% Maximum Street Outreach and Shelter

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

For the ESG program, matching requirements are passed to sub-recipients who demonstrate matching dollars prior to funding as well as at each quarterly invoice. ESG funds are leveraged by working the the OC Collaborative and considering other funding opportunities for various

programs for the homeless population. This will include leveraging with both Housing Authority voucher funding and HOME funds in FY 17-18.

The City administration recognizes that the City's annual entitlement and formula allocations are not sufficient to meet all of its needs, and that leveraging resources is critical to achieving the City's goals. The City continues to cultivate funding partners who can match the City's investment of CDBG, HOME, and ESG funds. The need remains great, and additional funds are sought to ensure that more affordable housing and important programs and activities are available for those in need. To that end the City seeks funds from the State and grants from other entities, both public and private.

Matching requirements have been satisfied with other eligible financial resources and/or in-kind services, and the City will continue to seek this type of matching as well as financial matches.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The City's Housing Authority currently owns 7 parcels previously held by the former Redevelopment Agency. Some of these parcels have been identified to address the needs within this five year consolidated plan. Those identified parcels will be developed by the City and through a competitive proposal process for interested parties.

The City acquired properties with CDBG funding in FY 2015-16 that are currently under development for two new parks. The parks are the 6th and Lacy Park and the Raitt and Myrtle Park. The 6th and Lacy Park is anticipated to use a State of California Housing-Related Parks Program grant and be completed FY 2019-20. The Raitt and Myrtle Park will utilize CDBG funding for the design and construction funding is to be determined.

Discussion

NA

Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Affordable Housing Opportunities	2015	2019	Affordable Housing	Citywide	Housing Opportunities	HOME: \$2,709,605 CDBG: 1,000,000	Rental units constructed: 10 Household Housing Unit Rental units rehabilitated: 7 Household Housing Unit Homeowner Housing Rehabilitated: 5 Household Housing Unit Direct Financial Assistance to Homebuyers: 4 Households Assisted
2	Homeless Activities	2015	2019	Homeless	Citywide	Homeless Services	ESG: \$489,202	Tenant-based rental assistance / Rapid Rehousing: 30 Households Assisted Homeless Person Overnight Shelter: 700 Persons Assisted Homelessness Prevention: 200 Persons Assisted Housing for Homeless added: 70 Household Housing Unit Other: 50 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	Public Service Programs	2015	2019	Homeless Non-Homeless Special Needs Non-Housing Community Development	Citywide	Public Service Programs	CDBG: \$769,151	Public service activities other than Low/Moderate Income Housing Benefit: 8000 Persons Assisted
4	Economic Development Activities	2015	2019	Non-Housing Community Development	Citywide	Economic Development	CDBG: \$70,766	Businesses assisted: 11 Businesses Assisted
5	Public Facilities/Infrastructures	2015	2019	Non-Housing Community Development	Citywide	Public Facilities/Infrastructure	CDBG: \$2,144,385	Other: 2 Other
6	Support Fair Housing	2015	2019	Non-Housing Community Development	Citywide	Fair Housing	CDBG: \$62,241	Other: 1 Other
7	Code Enforcement	2015	2019	Affordable Housing	Low-and Moderate-Income area	Code Enforcement	CDBG: \$791,479	Housing Code Enforcement/Foreclosed Property Care: 3000 Household Housing Unit
8	Administration	2015	2019	Administration	Citywide	Public Service Programs Code Enforcement Housing Opportunities Homeless Services Economic Development Fair Housing Public Facilities/Infrastructure	HOME: \$113,905 CDBG: \$963,294	Other: 2 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Affordable Housing Opportunities
	Goal Description	
2	Goal Name	Homeless Activities
	Goal Description	
3	Goal Name	Public Service Programs
	Goal Description	
4	Goal Name	Economic Development Activities
	Goal Description	
5	Goal Name	Public Facilities/Infrastructures
	Goal Description	
6	Goal Name	Support Fair Housing
	Goal Description	
7	Goal Name	Code Enforcement
	Goal Description	
8	Goal Name	Administration
	Goal Description	

Table 7 – Goal Descriptions

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

See annual action plan data.

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AP-35 Projects – 91.220(d)

Introduction

The development of the Annual Action Plan involved consultation with those agencies involved in delivering housing and housing services within Santa Ana, as noted in section PR-10. In addition there were meetings conducted with appropriate housing and social service agencies regarding the housing needs of children, elderly persons, persons with disabilities, homeless persons.

The 2017 Annual Plan sets forth a description of activities for the use of funds that will become available during the coming Federal fiscal year, determines goals for individuals and households to be served, and describes the implementation plan and geographic location of the activities to be undertaken.

#	Project Name
1	HOME17 - Admin
2	HOME17 - Single Family Rehab
3	HOME17 - CHDO
4	HOME17 - Affordable Rental Housing
5	ESG16 Santa Ana
6	CDBG17-ADMINISTRATION
7	CDBG17-FAIR HOUSING
8	CDBG17-CODE ENFORCEMENT
9	CDBG17-ECONOMIC DEVELOPMENT
10	CDBG17-PUBLIC SERVICE PROGRAMS
11	CDBG17-PARK IMP PACIFIC ELECTRIC BIKE TRAIL
12	CDBG17-STREET IMPROVEMENTS MADISON
13	CDBG17-STREET IMPROVEMENTS HENNINGER
14	CDBG17-NEIGHBORHOOD SPONSORED IMPROVEMENTS
15	CDBG17-SINGLE FAMILY REHAB
16	CDBG17-MULTI FAMILY REHAB
17	CDBG17-HOMEBUYER DOWN PAYMENT ASSISTANCE
18	CDBG15-PARK IMP RAITT AND MYRTLE ST OPEN SPACE

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

These projects are deemed to be of the highest priority and meet the greatest need. The chief obstacle to meeting these needs is a lack of resources to provide a greater level of assistance.

Projects

AP-38 Projects Summary

Project Summary Information

Table 9 – Project Summary

1	Project Name	HOME17 - Admin
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities Administration
	Needs Addressed	Housing Opportunities
	Funding	HOME: \$123,400
	Description	HOME funds utilized to cover administrative costs for the HOME program.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	Citywide
	Planned Activities	Grant Administration
2	Project Name	HOME17 - Single Family Rehab
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities

	Needs Addressed	Housing Opportunities
	Funding	HOME: \$200,000
	Description	HOME funds will be used for mobile home and single family rehab projects for qualified households within the City of Santa Ana.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Citywide
	Planned Activities	HOME Funds will be used to finance the rehabilitation of owner-occupied single family homes and mobile homes for residential purposes. The program will rehabilitation properties to address code, health and safety issue in accordance with the HOME program.
3	Project Name	HOME17 - CHDO
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities
	Needs Addressed	Housing Opportunities
	Funding	HOME: \$165,600
	Description	Funds will be used for CHDO-qualified projects within the City of Santa Ana.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	To Be Determined

	Planned Activities	
4	Project Name	HOME17 - Affordable Rental Housing
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities
	Needs Addressed	Housing Opportunities
	Funding	HOME: \$745,000
	Description	HOME funds will be used for eligible activities related to new construction or acquisition and rehabilitation of affordable rental housing in the City of Santa Ana.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	These HOME funds will be utilized in an upcoming project that will be as a result of a Request for Proposal process for affordable rental housing projects located within the City of Santa Ana.
5	Project Name	ESG16 Santa Ana
	Target Area	Citywide
	Goals Supported	Homeless Activities
	Needs Addressed	Housing Opportunities Homeless Services
	Funding	ESG: \$489,202
	Description	Emergency Solutions Grant program activities are used to prevent homelessness and to enable currently homeless individuals and families to move forward, locate housing and live independently.

	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	Street Outreach, Homeless Prevention, Rapid Rehousing, Shelter and data for the homeless population in Santa Ana.
6	Project Name	CDBG17-ADMINISTRATION
	Target Area	Citywide
	Goals Supported	Administration
	Needs Addressed	Housing Opportunities Homeless Services Public Service Programs Public Facilities/Infrastructure Economic Development Code Enforcement Fair Housing
	Funding	CDBG: \$963,294
	Description	The program will provide for the overall administration of the CDBG Program, to include: preparation and submission of required contracts with HUD, submission of all reporting requirements, provision of individual project oversight, monitoring of all project implementation and ongoing completion, and fiscal management and oversight.
	Target Date	6/30/2018

	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	
7	Project Name	CDBG17-FAIR HOUSING
	Target Area	Citywide
	Goals Supported	Support Fair Housing
	Needs Addressed	Fair Housing
	Funding	CDBG: \$62,241
	Description	The program will provide fair housing enforcement, community education, landlord /tenant counseling/mediation services and city administrative support for the residents of the City of Santa Ana.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	The program will provide fair housing enforcement, community education, landlord /tenant counseling/mediation services and city administrative support for the residents of the City of Santa Ana
8	Project Name	CDBG17-CODE ENFORCEMENT
	Target Area	Low-and Moderate-Income area
	Goals Supported	Code Enforcement
	Needs Addressed	Code Enforcement

	Funding	CDBG: \$791,479
	Description	Preserve single family and multifamily housing stock.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Low-and Moderate-Income area
	Planned Activities	Preserve single family and multifamily housing stock.
9	Project Name	CDBG17-ECONOMIC DEVELOPMENT
	Target Area	Citywide
	Goals Supported	Economic Development Activities
	Needs Addressed	Economic Development
	Funding	CDBG: \$70,766
	Description	Provide economic development assistance to businesses.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Citywide
	Planned Activities	Provide economic development assistance to businesses.
10	Project Name	CDBG17-PUBLIC SERVICE PROGRAMS
	Target Area	Citywide

	Goals Supported	Public Service Programs
	Needs Addressed	Public Service Programs
	Funding	CDBG: \$769,151
	Description	Provide programs for the elderly, youth, persons with disabilities, and low income individuals.
	Target Date	6/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Citywide
	Planned Activities	Fund Nonprofit Organizations to provide eligible public services with an emphasis on crime prevention, intervention, suppression for children, youth and families. Nonprofits will be determined through a CDBG Application Process.
11	Project Name	CDBG17-PARK IMP PACIFIC ELECTRIC BIKE TRAIL
	Target Area	Low-and Moderate-Income area
	Goals Supported	Public Facilities/Infrastructures
	Needs Addressed	Public Facilities/Infrastructure
	Funding	CDBG: \$853,604
	Description	Pacific Electric Bike Trail safety and security lighting.
	Target Date	12/31/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Low-and Moderate-Income area

	Planned Activities	Pacific Electric Bike Trail safety and security lighting.
12	Project Name	CDBG17-STREET IMPROVEMENTS MADISON
	Target Area	Low-and Moderate-Income area
	Goals Supported	Public Facilities/Infrastructures
	Needs Addressed	Public Facilities/Infrastructure
	Funding	CDBG: \$711,783
	Description	Residential street improvements will be undertaken in CDBG eligible areas. The work will include removal and replacement of existing streets with new asphalt or concrete panels. Project will also include some construction of curbs, gutters, sidewalks and driveways.
	Target Date	06/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Low-and Moderate-Income area
	Planned Activities	Residential street improvements will be undertaken in CDBG eligible areas. The work will include removal and replacement of existing streets with new asphalt or concrete panels. Project will also include some construction of curbs, gutters, sidewalks and driveways in the Madison Neighborhood.
13	Project Name	CDBG17-STREET IMPROVEMENTS HENNINGER
	Target Area	Low-and Moderate-Income area
	Goals Supported	Public Facilities/Infrastructures
	Needs Addressed	Public Facilities/Infrastructure
	Funding	CDBG: \$711,783

	Description	Residential street improvements will be undertaken in CDBG eligible areas. The work will include removal and replacement of existing streets with new asphalt or concrete panels. Project will also include some construction of curbs, gutters, sidewalks and driveways.
	Target Date	06/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Low-and Moderate-Income area
	Planned Activities	Residential street improvements will be undertaken in CDBG eligible areas. The work will include removal and replacement of existing streets with new asphalt or concrete panels. Project will also include some construction of curbs, gutters, sidewalks and driveways in the Henninger Neighborhood.
14	Project Name	CDBG17-NEIGHBORHOOD SPONSORED IMPROVEMENTS
	Target Area	Low-and Moderate-Income area
	Goals Supported	Public Facilities/Infrastructures
	Needs Addressed	Public Facilities/Infrastructure
	Funding	CDBG: \$47,178
	Description	Street light upgrades and bullet shield light protectors to enhance the safety and accessibility of public right of way locations in CDBG eligible areas.
	Target Date	06/30/2018
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Low-and Moderate-Income area

	Planned Activities	Street light upgrades and bullet shield light protectors to enhance the safety and accessibility of public right of way locations in CDBG eligible areas.
15	Project Name	CDBG17-SINGLE FAMILY REHAB
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities
	Needs Addressed	Housing Opportunities
	Funding	CDBG: \$440,000
	Description	Owner occupied housing rehabilitation.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Citywide
	Planned Activities	Owner occupied housing rehabilitation.
16	Project Name	CDBG17-MULTI FAMILY REHAB
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities
	Needs Addressed	Housing Opportunities
	Funding	CDBG: \$360,000
	Description	Renter occupied housing rehabilitation.
	Target Date	

	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Citywide
	Planned Activities	Renter occupied housing rehabilitation.
17	Project Name	CDBG17-HOMEBUYER DOWN PAYMENT ASSISTANCE
	Target Area	Citywide
	Goals Supported	Affordable Housing Opportunities
	Needs Addressed	Housing Opportunities
	Funding	CDBG: \$200,000
	Description	Provide first time homebuyer down payment assistance.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Citywide
	Planned Activities	Provide first time homebuyer down payment assistance.
18	Project Name	CDBG15-PARK IMP RAITT AND MYRTLE ST OPEN SPACE
	Target Area	Low-and Moderate-Income area
	Goals Supported	Public Facilities/Infrastructures
	Needs Addressed	Public Facilities/Infrastructure
	Funding	CDBG: \$1,028,093

Description	Neighborhood park.
Target Date	12/31/2019
Estimate the number and type of families that will benefit from the proposed activities	
Location Description	
Planned Activities	Action Plan Year 2015-16 - Aquisition of open space (\$848,093) Action Plan Year 2017-18 - Design of neighborhood park (180,000)

DRAFT

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

HUD-funded activities are limited to the City’s Low-and Moderate-Income area, which encompasses the majority of the City’s residential areas. Areas of the City outside of the CDBG target areas will benefit from activities that are limited-clientele in nature, i.e., a person/household can benefit from a federally assisted program provided they meet the program’s eligibility criteria. Eligibility is typically established by household income and household size.

CDBG program funds will be expended based on program criteria. For example, public services will be available on a citywide basis for qualified beneficiaries; fair housing and program administration activities will also be carried out on a citywide basis. Housing code enforcement and community development projects (i.e. street and park improvement projects) will be carried out in the City’s Low-and Moderate-Income Area; i.e., areas of the City where the majority of residents meet HUD’s Low- and Moderate-Income definition.

HOME funds will be utilized to support housing acquisition, new construction and rehabilitation activities for Very Low-Income homeowners and tenants.

ESG funding will be used to support programs that help prevent homelessness or that assist those already homeless with emergency/transitional housing and related support services.

A portion of ESG and general funds will be concentrated in the Civic Center where the largest community of homeless reside.

Geographic Distribution

Target Area	Percentage of Funds
Low-and Moderate-Income area	
Citywide	100

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Funds are used city-wide to benefit the residents of the City of Santa Ana.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

As noted earlier, the most important impediment to affordable housing revolves around the lack of Federal and State resources for affordable housing initiatives. The lack of programs and resources to reduce excessive rent or mortgage burdens to qualified persons is a key factor.

Another barrier to affordable housing in Santa Ana is the high cost of housing created by a demand for housing, both existing and new, which exceeds the current supply. Housing prices, both purchase and rental, remain high, especially for lower income households.

In addition, the cost of site acquisition is very high, and development costs are also very high. These facts make housing construction expensive and put affordable housing out of the reach of low-income households.

The Housing Needs Assessment also identified stringent criteria in the mortgage origination process still remains, which also is outside the scope and control of City policy. In some instances, issues revolving around personal finances (lack of down payment, credit history, employment history) affect the availability of affordable housing for Santa Ana residents.

In an effort to mitigate these barriers, Santa Ana has undertaken the following actions:

- In 2015, the City Council revised and adopted an inclusionary housing ordinance referred to as Housing Opportunity Ordinance (HOO) that requires Residential Projects with a zone change that allow residential development where such uses were not previously allowed, and include more than 5 units, to provide either 10% of all new units to be affordable to very-low income households or 15% of all new units to be affordable to low-income households.
- The City utilized limited public resources to fund the development and/or the acquisition and rehabilitation of rental housing units. This public assistance will result in lower rents for assisted units thus partially offsetting the impacts of some of the barriers listed above. Collaborating with CHDOs helps ensure long-term affordability and maintenance of housing units.
- The City's Housing Authority conducted outreach to rental property owners to encourage participation in the rental assistance program. The Authority's staff also provided counseling to new/prospective tenants on the importance of building good credit history. Credit counseling was provided by the Consumer Credit Counseling Center, a local nonprofit agency.

One Year Goals for the Number of Households to be Supported	
Homeless	5,000
Non-Homeless	0
Special-Needs	0
Total	5,000

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	13
Rehab of Existing Units	8
Acquisition of Existing Units	0
Total	21

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

The Santa Ana Housing Authority administers 2,658 Housing Choice Vouchers and does not own or operate and public housing units. It is the intention of the Authority to continue to provide safe, attractive affordable housing to its HCV clients.

Actions planned during the next year to address the needs to public housing

Not Applicable

Actions to encourage public housing residents to become more involved in management and participate in homeownership

Not Applicable

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not Applicable

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The needs of homeless persons and of persons with special needs are complex and require a wide range of specialized services. Numerous agencies are often involved in the care of a homeless person, providing distinct services such as housing, mental health counseling, employment training, and case work services. A number of activities and services are funded to help the needs of the homeless and other special needs populations. Overall, these services address the high priority of reducing homelessness and the threat of becoming homeless, as well as providing necessary services for the homeless.

There are several groups that have a higher need for affordable housing and have special housing needs. Seniors, people with disabilities, and the chronically homeless are more likely to face housing problems and have difficulty affording housing. Seniors and people with disabilities also have a need for accessible housing, whether for new housing, rehabilitated existing housing, or the adaptation of the housing they currently occupy. In addition to general challenges, seniors may have supportive needs resulting from dementia and increasing physical debilitation from dementia and increasing physical debilitation.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The one-year goals to address the issues include:

- On-going partnership with non-profit Street Outreach teams,
- Increasing the supply of supportive housing for special needs persons
- Preserving existing special needs housing and supportive services
- Adapting existing housing to meet the needs of special needs populations
- Improving access to services for special needs persons
- Assisting the homeless and those at risk of homelessness with access to permanent affordable housing and necessary supportive services
- Coordinating homeless services and encouraging collaboration among Service Providers and the Continuum of Care.

The one-year actions to be taken include:

The availability of 75 Project-Based Voucher (HUD-VASH) to assist homeless Veterans access affordable housing with supportive services from the Santa Ana Housing Authority,

Reserving 50% of Santa Ana Housing Authority turnover vouchers for Santa Ana homeless,

Relaxing the local criminal background screening for individuals applying for Housing Authority Vouchers,

Providing financial assistance to coordinate homeless service efforts in the city's highest populated homeless area.

Providing General Funds from the City's budget to assist the homeless in creative programming.

Addressing the emergency shelter and transitional housing needs of homeless persons

These programs listed above will address the needs of the homeless population (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) with a range of services that includes emergency shelter, transitional housing and permanent housing. In addition, ESG funds will provide assistance for both emergency and transitional housing. Within the City of Santa Ana is now an emergency shelter providing safe sleep for 400 individuals each night and food and services for over 600 individuals on a daily basis.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Working closely with the Continuum of Care and the new survey tool to prioritize the most vulnerable chronically homeless become served more quickly. All of the goals listed above were developed to increase housing success.

Providing HUD-PSH vouchers and PBV-VASH vouchers to eligible individuals will assist in meeting this goal.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities,

foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The goals listed above will help these individuals and families in preventing homelessness. The ESG program will fund homeless prevention programs including utility assistance and rental assistance. The HEART program, funded by ESG provides bus tickets for individuals interested in returning to their home; often following a release from a correctional facility.

Discussion

One year goals for the number of households to be provided housing through the use of HOPWA for:
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total

AP-75 Barriers to affordable housing – 91.220(j)

Introduction

Through vehicles such as zoning ordinances, subdivision controls, permit systems, and housing codes and standards, the City has attempted to ensure the health, safety, and quality of life of its residents while minimizing the barriers that may impede the development of affordable housing. None of these measures is intended to restrict the affordability of housing, though these regulations may on occasion affect the pricing of housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City has identified several barriers to the development of affordable housing including the following:

- Governmental constraints such as property taxes, land-use controls (e.g., density and zoning restrictions), building codes, building permit fees, on-site/off-site improvement, prevailing wage regulations, and ADA compliance.
- Market constraints primarily the availability, cost, and competition for land. Other constraints include limited funding sources, finance costs, and the costs of construction.
- Available land in the City mostly consists of small parcels that must be assembled for significant new construction projects. Relocation costs and housing replacement requirements for redeveloping improved properties also presents barriers to the development of affordable housing.
- The region's rents have continued to increase. Higher rents limited the ability of some lower income households to obtain decent and affordable housing. Households with no or poor credit history are severely impacted.

Since governmental restrictions may constrain the production of affordable housing, the City of Santa Ana continues to monitor, analyze and address, as necessary, governmental regulations, land use controls and residential development standards that affect the production and preservation of affordable housing.

The Housing Needs Assessment also identified stringent criteria in the mortgage origination process that continues to this day even after the foreclosure crisis has ended, which also is outside the scope and control of City policy. In some instances, issues revolving around personal finances (lack of down payment, credit history, employment history) affect the availability of affordable housing for residents.

Market factors that tend to restrict affordable housing production are: high land costs, high construction costs, and the availability of financing.

In an effort to mitigate these barriers, the City of Santa Ana has undertaken the following actions:

- City Council adopted an inclusionary housing ordinance referred to as Housing Opportunity Ordinance (HOO) that requires either 10% or 15% of all new units in Santa Ana to be affordable to very low- and low-income households.
- The City utilizes limited public resources to fund the development and/or the acquisition and rehabilitation of rental housing units. This public assistance will result in lower rents for assisted units thus partially offsetting the impacts of some of the barriers listed above. Collaborating with CHDOs helps ensure long-term affordability and maintenance of housing units.
- The City's Housing Authority conducts outreach to rental property owners to encourage participation in the rental assistance program. The Authority's staff also provides counseling to new/prospective tenants on the importance of building good credit history. Credit counseling is provided by the Consumer Credit Counseling Center, a local nonprofit agency.

Discussion

AP-85 Other Actions – 91.220(k)

Introduction

Actions planned to address obstacles to meeting underserved needs

The City of Santa Ana will undertake many activities aimed at addressing the needs of the community's underserved. The underserved include the elderly, disabled, youth, homeless, veterans and very low-income households. In order to serve these populations, the City will focus limited resources toward upgrading existing housing units and expanding the number of affordable housing units for both owners and renters. Housing rehabilitation and acquisition/development of new rental housing units are examples of activities. Finally, the City and local nonprofits will continue to provide services for underserved City residents. Social services to be funded will include programs with an emphasis on crime prevention, intervention and suppression for children, youth and families. Homeless programs include rapid rehousing, homeless prevention, shelter and street outreach. By focusing resources on the housing and community needs identified as high priority, the City will be able to utilize limited resources more efficiently.

When available, Santa Ana will seek out additional resources beyond federal and local funds to address these needs.

Actions planned to foster and maintain affordable housing

In an effort to maintain and foster affordable housing, Santa Ana will continue to seek ways to achieve this objective. These will include programs and activities such as:

- Implementing the recently revised inclusionary housing ordinance referred to as Housing Opportunity Ordinance (HOO.) This ordinance requires residential projects with a zone change, that allows residential development where such uses were not previously allowed. In addition, it includes projects with more than 5 units, to provide either 10% of all units for very-low income households or 15% of all new units in Santa Ana to be affordable to low-income households. Developers also have an option to pay an in-lieu fee which will go towards affordable housing development within the City of Santa Ana.
- Using limited public resources to fund the development and/or the acquisition and rehabilitation of rental housing units. This public assistance will result in lower rents for assisted units thus partially offsetting the impacts of some of the barriers listed above. Collaborating with CHDOs helps ensure long-term affordability and maintenance of housing units.
- Continuing the City's Housing Authority outreach to rental property owners to encourage participation in the rental assistance program. The Authority's staff also provided counseling to new/prospective tenants on the importance of building good credit history. Credit counseling was provided by the

Consumer Credit Counseling Center, a local nonprofit agency.

- The Housing Authority has received 75 project based vouchers (PBV-VASH) for permanent supportive housing for homeless veterans. In addition, included \$640,000 in CDBG Program funds for acquisition and rehabilitation of existing units to leverage the PBV-VASH Vouchers.

Actions planned to reduce lead-based paint hazards

In an effort to address the problems caused by lead exposure, the City of Santa Ana has implemented a lead paint hazard identification and notification process as part of its housing programs. This process has been designed to comply with HUD's lead based paint hazard regulations (Title X), which became effective in September 2000, and was implemented by Santa Ana in January 2002.

All owner-occupied housing units rehabilitated or constructed prior to 1979 are inspected for lead paint hazards. If the inspection finds potential lead-based paint hazards, the subject property is tested – the average cost per test is \$450. Program staff indicates that procedures to comply with Title X has added approximately 30 days to the typical housing rehabilitation project.

Actions planned to reduce the number of poverty-level families

The objectives and strategies of this Plan are focused on reducing the number of families in poverty, improving the quality of life for the poorest of families, and lessening the impacts of poverty. Strategies include those addressing affordable housing, special needs housing, homelessness, public facilities, public improvements, and economic development.

The movement of people above the poverty line involves a variety of policies and programs that extend beyond providing opportunities for employment at a living wage. Access to education, transportation, childcare, and housing are key components that can assist persons to secure and retain economically self-sustaining employment.

The City will employ a variety of strategies to help alleviate poverty, including efforts to stimulate economic growth and job opportunities, and to provide Santa Ana residents with the skills and abilities required to take advantage of those opportunities. More specifically, the City will undertake a range of activities and services to combat poverty including:

- Affordable housing programs including rehabilitation, rental assistance, and creation of new affordable housing units (via new construction or acquisition/rehabilitation).
- Homeless assistance including prevention activities, emergency shelter, transitional housing, and access to permanent housing opportunities. Support services will be blended with these activities.
- Public safety to prevent criminals from victimizing the poor.

- Public facility improvements to improve the overall infrastructure of the City, but more specifically to eliminate public improvements/infrastructure that negatively impact residential neighborhoods.
- Job training, job-search skills and job placement to provide those living in poverty with employment opportunities that pay higher wages.
- Youth recreation and diversion services which provide youth living in poverty with alternatives to gang and crime activities.

The Housing Authority will continue to implement the Family Self-Sufficiency (FSS) program, which will provide rental assistance, life skills training and referrals to employment training for program participants.

Actions planned to develop institutional structure

The City of Santa Ana will strengthen institutional structures and enhance coordination between public and private housing and social service agencies, and foster assisted housing improvements and resident initiatives. The City works closely with local institutions to initiate programs that link economic incentives with neighborhood and community development objectives, including building relationships with neighborhood associations, community based lending agencies, nonprofits, and educational institutions. The City also supports programs aimed at enhancing coordination among various City departments. The City will continue to utilize a network of referrals, contacts and partnerships to implement the strategies outlined in the Consolidated Plan.

In the area of housing, the City will continue to build its relationship with local housing providers such as private developers, nonprofit developers, and neighboring housing authorities, to ensure that limited housing resources are utilized in the most efficient and effective manner possible. The City also will continue to participate in the regional Continuum of Care sub-committee's in order to identify the housing and service needs of the County's homeless.

Actions planned to enhance coordination between public and private housing and social service agencies

Additionally, the Orange County ESG grantees (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) have developed the Orange County ESG Collaborative as a unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed the grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.

Discussion

DRAFT

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

**HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)**

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The City of Santa Ana does not use HOME funds in any other manner than those described in Section 92.205(b).

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Not Applicable

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Not Applicable

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The City of Santa Ana has no plans to use HOME funds for this purpose.

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

Emergency Solutions Grants Program will be used for activities under five program components: street outreach, emergency shelter, homelessness prevention, rapid re-housing assistance, and HMIS costs, as well as administrative activities. ESG applications go through an open and transparent proposal process. The Community Development Agency is responsible for ensuring that the ESG Program is implemented in accordance with all federal rules and regulations.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The City provides funding to 211 OC, the County's Continuum of Care and administrator of the data entry system, HMIS. Again in FY 17/18, funding will be provided for HMIS data and Coordinated

Entry and technical assistance. 211 OC also administers the survey tool called VI-SPDAT to measure the vulnerability of the chronically homeless and provides the matching information for housing.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

For FY17/18 ESG funding, the Orange County ESG Collaborative (County of Orange, City of Anaheim, City of Garden Grove, and the City of Santa Ana) released one Request for Proposal as a unified approach to requesting, reviewing and funding ESG subrecipients. This collaborative approach has streamlined the application and review process and allowed the grantees to better understand the impacts of funding decisions. Future Collaborative efforts will include unified invoice and monitoring forms and other actions to better serve our homeless and at-risk of homeless families.

Following the Collaborative review, the recommendation is taken before the Redevelopment and Housing Commission followed by approval by the City Council. Allocations were made with considerations of ensuring that program funds would be available for homelessness prevention, rapid-rehousing, shelter, data and street outreach.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

The City works closely with the County homeless services staff as well as local homeless service providers, homeless advocacy groups, the OC Homeless Task Force, ACC-OC Homeless Task Force as well as neighboring cities and homeless individuals. A prior homeless individual sat on the ESG funding review panel.

5. Describe performance standards for evaluating ESG.

The City utilizes the HUD monitoring ESG handbook to monitor each program's performance to ensure that goals are on track and funds are used accordingly. Quarterly, invoices are reviewed to ensure program compliance. In addition, the quarterly financial invoices are monitored by City Accounting staff. On-site monitoring of non-profit organizations is done by staff and consultants for

both program and financial records on an as-needed basis, or every three years.

The City works closely with the OC Collaborative to standardize as much as possible.

Discussion

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